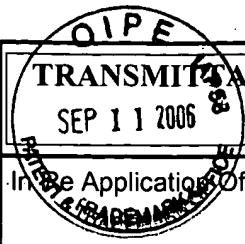


IFW



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RLL-347US

In Re Application Of: KUMAR et al.

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|----------|--------------|----------------|------------------|
| 10/549,821 ✓ | | Unknown | 26815 | Unknown | 3659 |

Title: **PROCESS FOR THE PREPARATION OF 7-AMINO (P-HYDROXYPHENYGLYCLYAMIDO) CEPHEM COMPOUNDS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

| | | | | | |
|--|-------------|----------|--|-------------------------|------------------|
| <div style="display: inline-block; border: 1px solid black; border-radius: 50%; padding: 5px; text-align: center; width: 100px; height: 100px; vertical-align: middle;">OIPF SEP 11 2006</div> <div style="display: inline-block; vertical-align: middle;">TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))</div> | | | | Docket No. RLL-347US | |
| In Re Application of: KUMAR et al. | | | | | |
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| Title: PROCESS FOR THE PREPARATION OF 7-AMINO (P-HYDROXYPHENYGLYCLYAMIDO) CEPHEM COMPOUNDS | | | | | |
| Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) | | | | | |
| <input type="checkbox"/> A check in the amount of _____ is attached. | | | | | |
| <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>50-0912</u> as described below. | | | | | |
| <input type="checkbox"/> Charge the amount of _____ | | | | | |
| <input type="checkbox"/> Credit any overpayment. | | | | | |
| <input checked="" type="checkbox"/> Charge any additional fee required. | | | | | |
| <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. | | | | | |
| WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| Certificate of Transmission by Facsimile* | | | Certificate of Mailing by First Class Mail | | |
| <div>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa</div> <div style="border-top: 1px solid black; margin-top: 20px;">(Date)</div> <div style="border-top: 1px solid black; margin-top: 20px;">Signature</div> <div style="border-top: 1px solid black; margin-top: 20px;">Typed or Printed Name of Person Signing Certificate</div> | | | <div>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on</div> <div style="border-top: 1px solid black; margin-top: 20px;">September 5, 2006</div> <div style="border-top: 1px solid black; margin-top: 20px;">(Date) </div> <div style="border-top: 1px solid black; margin-top: 20px;">Signature of Person Mailing Correspondence</div> <div style="border-top: 1px solid black; margin-top: 20px;">Michelle Morgan</div> <div style="border-top: 1px solid black; margin-top: 20px;">Typed or Printed Name of Person Mailing Certificate</div> | | |
| <div><div>*This certificate may only be used if paying by deposit account.</div><div style="text-align: center; margin-top: 20px;"><div style="margin-top: 5px;">Signature</div></div><div style="margin-top: 20px;">William D. Hare, Esq. Reg. No. 44,739 Customer No. 26,815</div></div> <div style="text-align: right; margin-top: 20px;">Dated: September 5, 2006</div> | | | | | |
| CC: | | | | | |

INFORMATION DISCLOSURE CITATION

Docket No.: RLL-347US

Serial No.: 10/549,821

Applicants: KUMAR *et al.*

Filed: 9/20/2005

Group:

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | A1 | 3,489,752 | 1/13/1970 | Crast, Jr. | 260 | 243 | |
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| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
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| | B1 | GB 1,240,687 | 7/28/1971 | Great Britain | C07D | 99/24 | |
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| | B4 | WO 04/039812 | 5/13/2004 | PCT | C07D | 501/04 | |

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| C1 | Kleemann, A.; Engel, J., <i>Pharmaceutical Substances; Synthesis, Patents, Application; A-M, 4th ed</i> , pages 368-371, 376-377, and 396-398 (2001) |
| C2 | Kempermann <i>et al.</i> , "Synthesis of Cephalosporin-Type Antibiotics by Coupling of their β -Lactam Nucleus and Racemic Amino Acid Side Chains Using a Clathration-Induced Asymmetric Transformation", <i>European Journal of Organic Chemistry</i> , <u>2001</u> (10):1817-1820 (2001) |

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | |
|--|---------------------------------|------------------------|
| INFORMATION DISCLOSURE CITATION | Docket No.: RLL-347US | Serial No.: 10/549,821 |
| | Applicants: KUMAR <i>et al.</i> | |
| | Filed: 9/20/2005 | Group: |

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|--|----|--|
| | C3 | Huang et al., "Synthesis of Cefadroxil", <i>Chinese Journal of Pharmaceuticals</i> , <u>21</u> (8):343-345 (1990) |
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|--|-----------------|
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